The State of University-Business Cooperation in

France

Part of the DG Education and Culture Study on the Cooperation Between Higher Education Institutions and Public and Private Organisations in Europe

December 18th, 2013



"The university-business cooperation of France is above the European average in most of the types but documented strategies should be translated into real actions"









The university-business cooperation of France is above the European average in most of the types but documented strategies should be translated into real actions.

France has a focus of university-business cooperation (UBC) in Collaboration in research and development (R&D), Mobility of students and Curriculum development and delivery. The greatest Barriers to UBC, in France are 'relational barriers', specifically, the 'differing time horizons between university and business' and 'business lack awareness of university research activities / offerings'. Paradoxically, the main drivers of UBC are also relationships, 'Relational barriers' seem to call for a greater extent of communication and a greater degree of trust among University and Business to coordinate activities; for that reason, 'understanding of common interest by different stakeholders' is perceived as the main driver for UBC, whilst, the second main driver, perceived by HEI in France is 'existence of mutual trust'.

French academics see low personal benefits from UBC whilst education higher management (HEI) management perceive high benefits for the HEI from UBC. Furthermore, there is a high degree of development on 'documented strategies' such as 'a toplevel management committed to UBC' and 'a strategy for UBC'. Despite the high degree of an official commitment of the HEI management to UBC, 'implementation and motivation strategies' are less developed in France; therefore, there is a need to bring the 'documented strategies' into real actions to further develop UBC.

The State of University-Business Cooperation (UBC) in FRANCE

- 1. Executive summary -2
- 2. About the study -4
- 3. Extent of University Business Cooperation (UBC)
- 4. Influencing factors -7
- 5. Supporting mechanism development 17
- 6. The UBC ecosystem 30

The UBC ecosystem

- Indirect outcome (society)
- Direct outcome (stakeholder)
- 3 University-Business
 Cooperation (UBC) types
- 4 Influencing factors
- **5** Supporting mechanisms
- **6** Key stakeholders





The

study

focus





About the study

About the study

The results presented in this report were from a study commissioned by the European Commission (EC). Surveys were sent out to all registered European HEIs in 33 countries in 2011. In total, 6,280 responses were received from European academics and HEI management (HEI managers and HEI professionals working with industry) whilst from the France, 301 responses from academics and 213 from HEI management were received. The study measured the perceptions of these two groups in respect to their own cooperation efforts and those of their university respectively.

Methodology

The survey was created during a project completed with the EC in a fifteen and a half month study on the cooperation between HEIs and public and private organisations in Europe. The main components of the project were in-depth qualitative interviews with 10 recognised industry experts as well as a major quantitative survey. The survey was translated into 22 languages and sent to all registered European HEIs (numbering over 3,000) in 33 countries during March 2011. Through this, a final sample population of 6,280 academics and HEI management was achieved making the study the largest study into cooperation between HEIs and business yet completed in Europe.

Objective

The objective of this report is to evaluate the current status of UBC in Spain and benchmark these outcomes against European HEls. As a result of this analysis, the reader will have a clearer understanding of the extent of cooperation with business. Furthermore, the report aims to highlight the *Barriers* and *Drivers* preventing or motivating cooperation as well as the extent of development of mechanisms supporting UBC, in comparison with the European average.

Questions were posed to two groups within HEIs. These groups were asked about their perception of UBC:

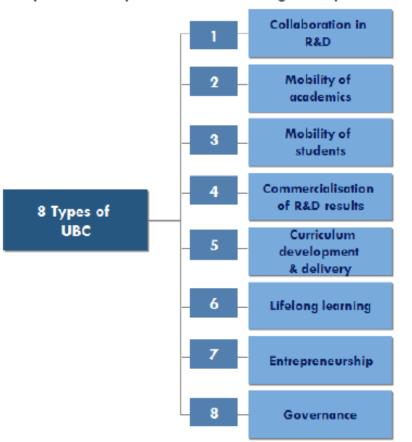
- Individual academics were asked to respond on behalf of themselves.
- HEI management (HEI managers and university professionals working with industry) were asked to respond on behalf of their HEI.

	Focus	Responded on behalf of	Colour code for results
1	Academics	Indv. academics	
2	HEIs	HEI management and university professionals working with industry	

Colour codes have been used throughout the report to identify those results received from the academic (green) and those results received from the HEI (orange).

Extent of UBC

Eight types of cooperation between university and business have been recognised in the *State* of *European UBC Report* with the following descriptions:



Relationship among cooperation types

The study identified that HEIs tend to cooperate at a similar level in all UBC types e.g. if they cooperate to a high extent with business in Collaboration in R&D, they cooperated to a similar extent for all the types of UBC.

Includes joint R&D activities, contract research, R&D consulting, cooperation in innovation, joint publications with firm scientists/researchers, joint supervision of theses Bachelor, Master or PhD) or projects in cooperation with business

Consists of temporary movement of professors, researchers from HEls to business; and employees, managers and researchers from business to HEls.

Consists of temporary movement of students from HEIs to business

Includes the commercialisation of scientific R&D results through disclosures of inventions, patenting and licenses.

Consists of the joint development of a programme of courses, modules, majors or minors, planned experiences as well as guest lectures by delegates from external private and public organisations within undergraduate, graduate or PhD programmes

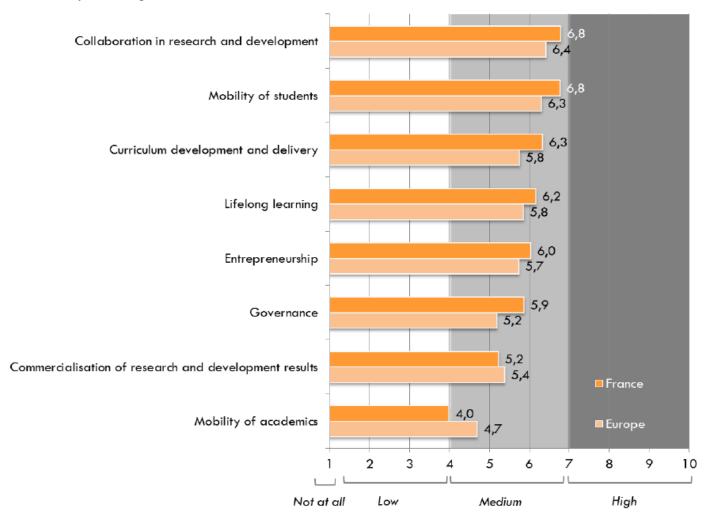
Includes the provision of adult education, permanent education and/or continuing education involving the acquisition of skills, knowledge, attitudes and behaviours by HEIs to people working in external organisations

Consists of actions involving HEIs towards the creation of new ventures or developing entrepreneurial mind-sets in cooperation with business.

Includes academics involved in firm decision-making or sitting on the boards of firms and also having business leaders involved in HEI decision-making or sitting on the boards of HEIs or at a faculty management level

Extent of University-Business Cooperation in France

As answered by HEI management

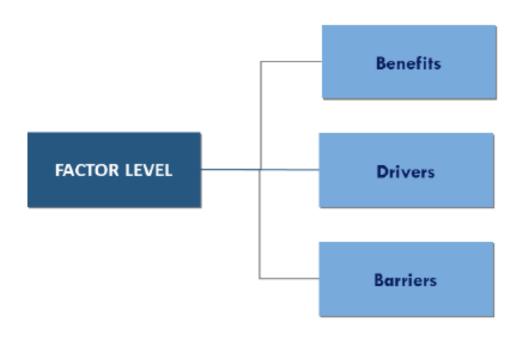


HEIs

ACAD

Factors influencing the extent of UBC

The coming section will outline the extent to which a number of factors affect cooperation within business in Spain. These factors have been found to significantly influence cooperation within the European context.



Benefits for students, the HEI, business, society and academics.

Drivers relating to:

- · Commercial, practical and logistical drivers,
- Relationship drivers.

Barriers relating to:

- Usability of results,
- · Organisational and relational barriers,
- Funding barriers.

Barriers (grouped) to cooperation - France vs Europe

As answered by academics and HEI management

Usability of results		Extent of relevance (1-10)		relevance 10)
The focus on producing practical results by business, The need for business to have confidentiality of research results,			Eur	ope
Business fear that their knowledge will be disclosed.	ACAD	6.5	ACAD	6.1
	HEI	6.2	HEI	6.0

Funding barriers	Extent of relevance (1-10)		Extent of relevance (1-10)	
Lack of external funding for University-Business cooperation, Lack of financial resources of the business,	Fra	nce	Eur	ope
Lack of HEI funding for UBC, The current financial crises.	ACAD	6.3	ACAD	6.5
	HEI	6.4	HEI	6.8

Relational barriers	Extent of relevance (1-10)		Extent of relevance (1-10)	
Business lack awareness of HEI research activities / offerings,	France		Europe	
 The limited absorption capacity of SMEs to take on internships or projects, Differing time horizons between HEI and business, 	ACAD	6.5	ACAD	6.4
 Differing motivation / values between HEI and business, Universities lack awareness of opportunities arising from UB-cooperation, Bureaucracy within or external to the HEI , 	HEI	6.2	HEI	6.2

HEIs

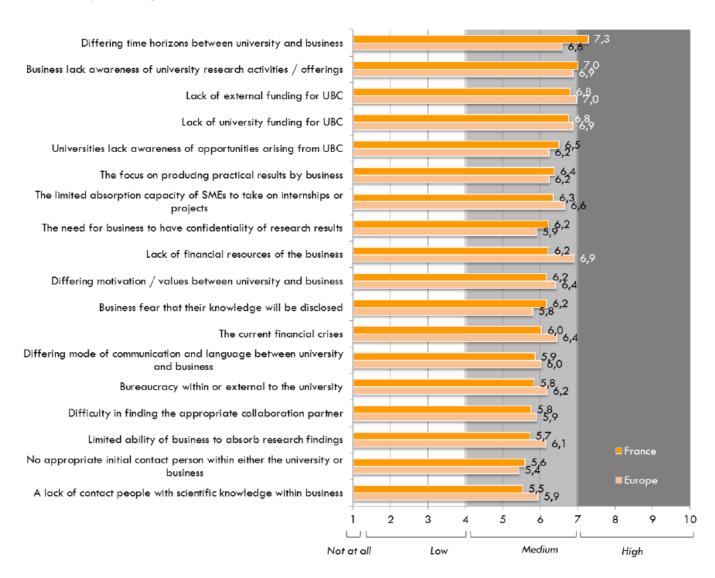
ACAD

· Limited ability of business to absorb research findings,

- Differing mode of communication and language between HEI and business,
- · A lack of contact people with scientific knowledge within business,
- · Difficulty in finding the appropriate collaboration partner,
- No appropriate initial contact person within either the HEI or business.

Main barriers to cooperation - France vs Europe

As answered by HEI management



HEIs

ACAD

Drivers (grouped) of cooperation - France vs Europe

As answered by academics and HEI management

· Flexibility of business partner,

· Commercial orientation of the HEI.

· Access to business-sector research and development facilities

Relationship drivers	Extent of facilitation (1-10)		Extent of facilitation (1–10)	
Existence of mutual trust, Existence of mutual commitment,	France		Europe	
 Having a shared goal, Understanding of common interest by different stakeholders (e.g. universities, business, individuals, students) 	ACAD	7.5	ACAD	6.7
 universities; business; individuals; students), Prior relation with the business partner, Cooperation as effective means to address societal challenges 	HEI	7.7	HEI	7.0
and issues.				

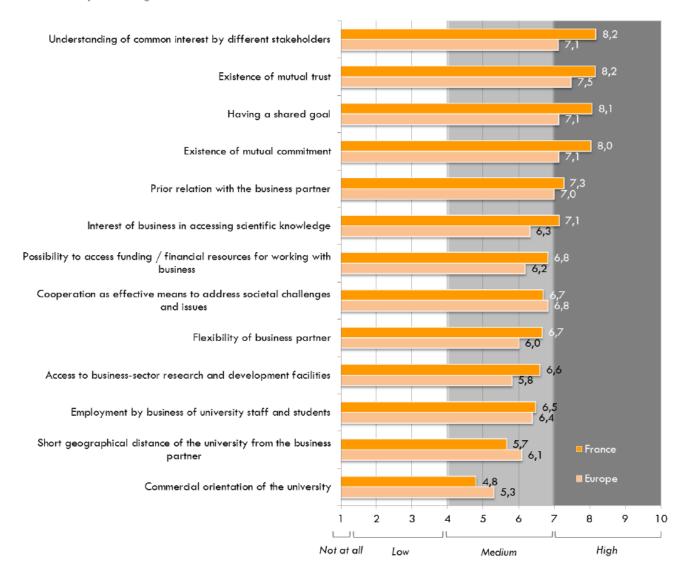
Business drivers	Extent of facilitation (1-10)		Extent of facilitation (1-10)	
Employment by business of HEI staff and students,	Fra	nce	Eur	ope
 Interest of business in accessing scientific knowledge, 	ACAD	5.9	ACAD	5.6
 Possibility to access funding / financial resources for working with business, Short geographical distance of the HEI from the business partner 	HEI	6.3	HEI	6.7

HEIs

ACAD

UBC Drivers / Influencing factors

Main drivers of cooperation - France vs Europe



Benefits (grouped) from cooperation - France vs Europe

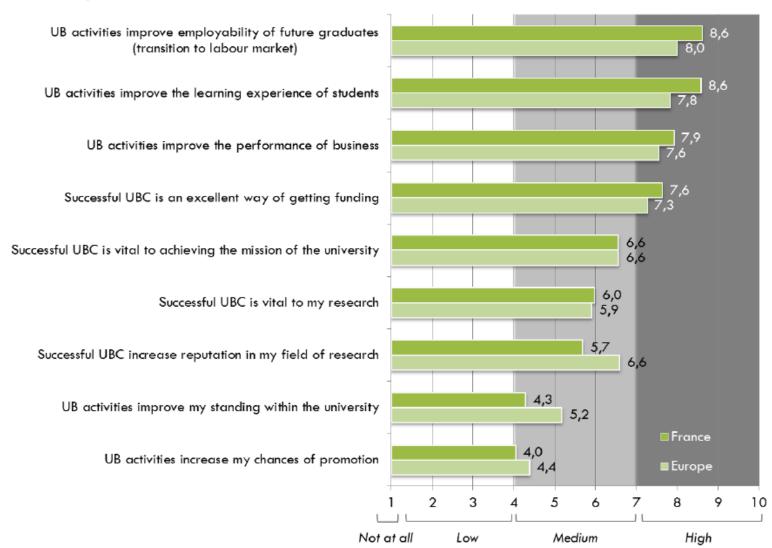
As answered by academics

Benefits for students	Extent of importance (1-10)		Extent of i	
 UB activities improve employability of future graduates UB activities improve the learning experience of students 	France		Europe	
	ACAD	8.6	ACAD	7.9
Benefits for business	Extent of importance (1-10)		Extent of importance (1-10)	
UB activities improve the performance of business	France		Europe	
	ACAD	7.9	ACAD	7.6
Benefits for HEIs	Extent of importance (1-10)		Extent of importance (1-10)	
Successful UBC is vital to achieving the mission of the HEI	Fra	nce	Europe	
	ACAD	6.6	ACAD	6.6
Benefits for academics	Extent of importance (1-10)		Extent of importance (1-10)	
Successful UBC is an excellent way of getting funding	Fra	nce	Euro	ope
 Successful UBC increases my reputation in my field of research Successful UBC is vital to my research UB activities improve my standing within the university UBC activities increase my chances of promotion 	ACAD	5.6	ACAD	5.9



Benefits from cooperation - France vs Europe

As answered by academics





Benefits (grouped) from cooperation - France vs Europe

As answered by HEI management

Benefits for the HEI		Extent of importance (1-10)		mportance 10)
UBC is vital to achieving the mission of the HEI.	Fre	ince	Europe	
	HEI	8.6	HEI	7.7
Benefits for students		importance	Extent of i	mportance

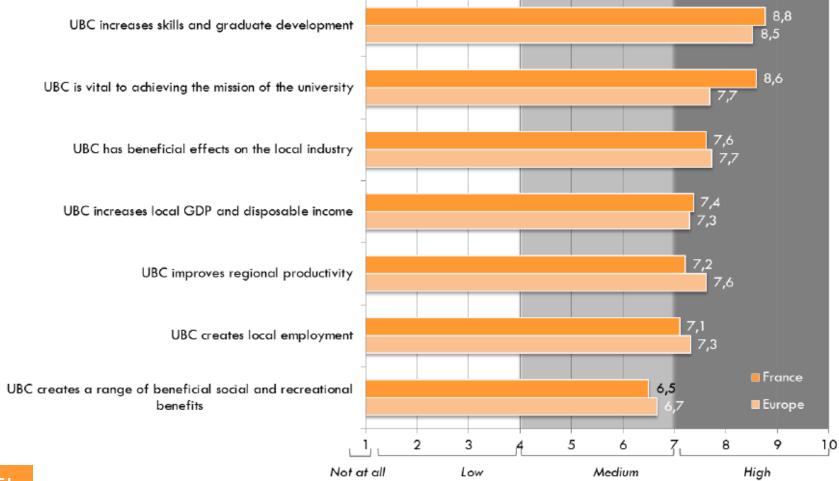
Benefits for students		importance ·10)	Extent of i (1-	mportance 10)	
UBC increases skills and graduate development	Fre	France		Europe	
	HEI	8.8	HEI	8.5	

Benefits for business and society	Extent of importance (1-10)		Extent of importance (1-10)	
UBC has beneficial effects on the local industry	France		Europe	
 UBC improves regional productivity UBC creates local employment UBC increases local GDP and disposable income 	HEI	7.1	HEI	7.3

 UBC creates a range of beneficial social and recreational benefits

HEIs

Benefits from cooperation - France vs Europe





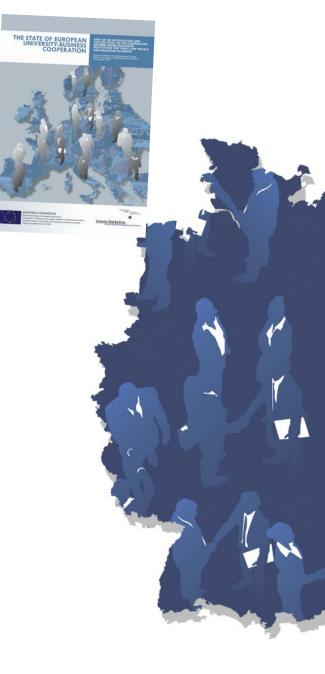
BENCHMARK

...universities in your region ...your university!

Using the State of European University-Business Cooperation (HIPPO) study results, decision makers, managers and practitioners involved in UBC can benefit from receiving:

- a benchmark in terms of UBC of your organisation, institution, sector, region or country against others.
- 2. a clear picture of progress in efforts to increase UBC,
- 3. proactive areas of focus for increasing UBC,
- 4. the required information to advance UBC within their region or institution

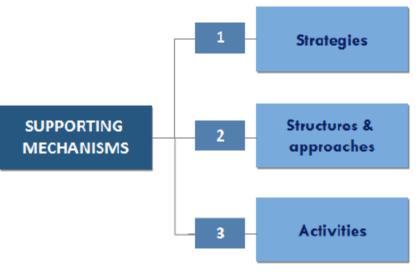
A state of the UBC report dedicated to your organisation can assist with developing greater financial and non financial benefits from UBC.. It will be provided to your organisation in the form of a report and/or presentation.



Please contact davey@apprimo.com for more information.

Supporting mechanisms for UBC

Supporting mechanisms are interventions designed to support the development of cooperation between HEIs and business. The coming section will outline the extent to which UBC Supporting mechanisms are developed in Spain. The development of these mechanisms has been found to significantly influence cooperation within the European context.



- Documented (Paper) strategies
- · Implementation strategies
- Role-based approach in UBC
- Internal/External agencies focused on UBC
- Internally focussed education and workshops focused on academics and / or students
- Externally focussed networking, promotional and project activities

Supporting mechanisms explained

The UBC Supporting mechanisms constitute the 'actionlevel', where all stakeholders need to focus their efforts when they want to influence the extent of UBC.

The specific role and importance of Supporting mechanisms at HEIs has long been recognised in both practice and literature. They are often recognised in multiple ways including (i) in a variety of different names (e.g. interventions, enablers), (ii) captured in a model (e.g. ecosystem, regional innovation system) or (iii) known as individual elements (e.g. activities, infrastructure).

A key finding of the State of European UBC Report was that the extent of development of the Supporting mechanisms was found to significantly affect the extent of general activity between HEIs and business. The nature of the Supporting mechanisms in terms of (i) responsibility, (ii) expense and (iii) time to impact are summarised in the table below.

	Primary responsibility for the mechanism	Secondary responsibility	Expense	Time to impact
Strategies	HEI management	All UBC stakeholders	Low	Long term
Structures and approaches	HEI / regional Govt. and agencies	Regional UBC stakeholders	Agencies: High Personnel: Med-high	Agencies: Long Personnel: Medium
Operational activities	Knowledge transfer Professionals	Regional UBC stakeholders	Medium	Short-medium term

19

Development of UBC Strategies (grouped) - France vs Europe

The internal promotion of University-Business cooperation.,
The external promotion of University-Business cooperation.

assessment of work performance for academics.

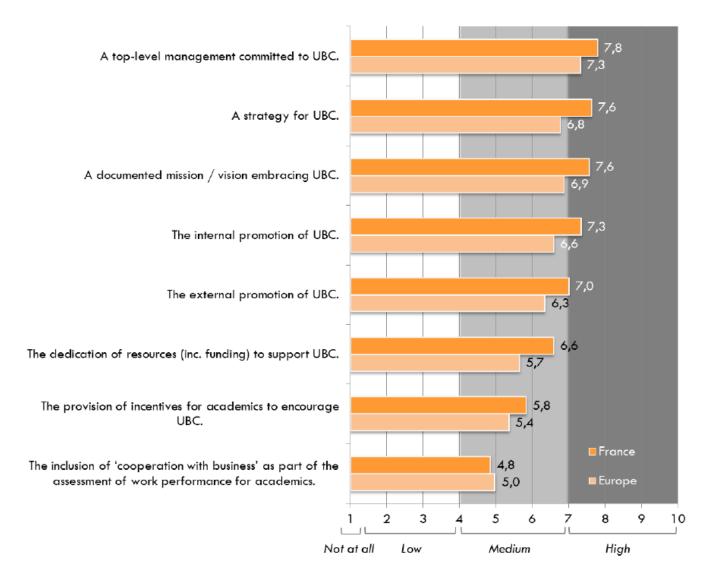
Documented (Paper) strategies		Extent of development (1-10)		evelopment 10)	
 A top-level management committed to University-Business cooperation, 	Fre	France		Europe	
 A documented mission / vision embracing University-Business cooperation, A strategy for University-Business cooperation, 	HEI	7.5	HEI	6.8	

Implementation and motivation strategies	Extent of development (1-10)		Extent of development (1-10)	
 The dedication of resources (inc. funding) to support University-Business cooperation, 	France		Europe	
The provision of incentives for academics to encourage University-Business cooperation,	HEI	5.8	HEI	5.4
 The inclusion of 'cooperation with business' as part of the 				



UBC Strategies / supporting mechanisms

Development of UBC strategies - France vs Europe





21

Development of UBC structures and approaches (grouped) — France vs Europe

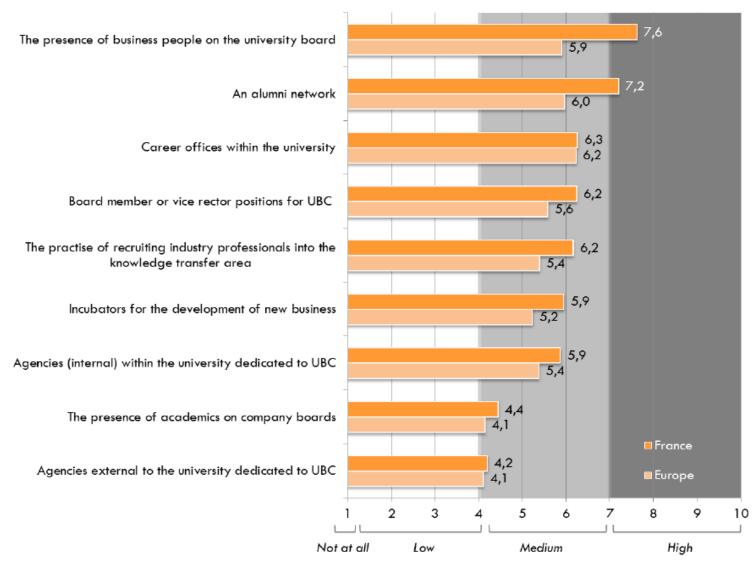
Roles-based approaches in UBC		Extent of development (1-10		Extent of development (1-10	
 The presence of academics on company boards, The presence of business people on the HEI board, 	Fre	France		Europe	
 Board member or vice rector positions for UBC. The practise of recruiting industry professionals into the knowledge transfer area., 	HEI	6.3	HEI	5.4	
An alumni network.					

Internal/External agencies focused on UBC	Extent of development (1-10		Extent of development (1-10	
 Career offices within the HEI, Agencies external to the HEI dedicated to UBC 	France		Europe	
 Agencies (internal) within the HEI dedicated to UBC, Incubators for the development of new business. 	HEI	5.7	HEI	5.3



UBC Structures / supporting mechanisms

Development of UBC structures and approaches —France vs Europe





UBC Activities / supporting mechanisms

Development of UBC operational activities (grouped) - France vs Europe

Internally focused education and workshops focused on <u>academics</u>		Extent of development (1-10)		Extent of development (1-10)	
Workshops, information sessions and forums for University-Business collaboration targeting	Fre	France		Europe	
 academics, Entrepreneurship education offered to academics. 	HEI	5.1	HEI	5.3	

Internally focused education and workshops focused on students	Extent of development (1-10)		Extent of development (1-10)	
Entrepreneurship education offered to students.	France		Europe	
	HEI	6.6	HEI	6.3

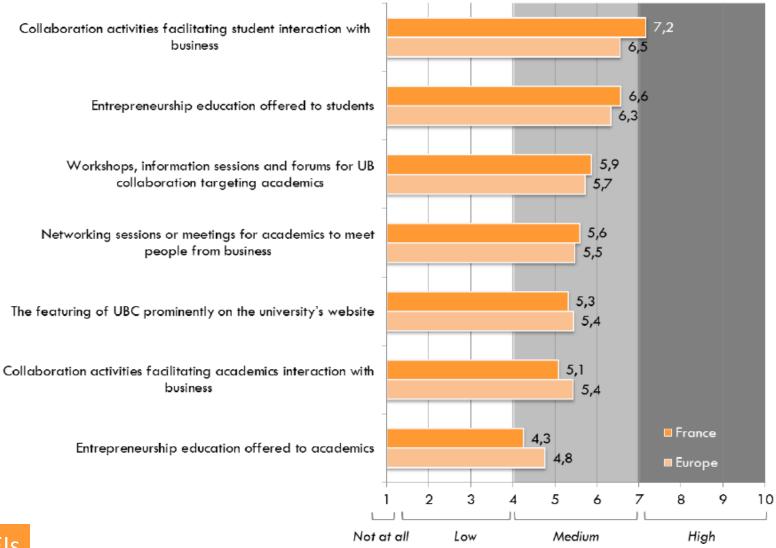
Externally focused networking, promotional and project activities	Extent of development (1-10)		Extent of development (1-10)	
 Networking sessions or meetings for academics to meet people from business, 	France		Europe	
 The featuring of University-Business cooperation prominently on the HEI's website, 	HEI	5.8	HEI	5.7

- Collaboration activities facilitating student interaction with business,
- Collaboration activities facilitating academics interaction with business.

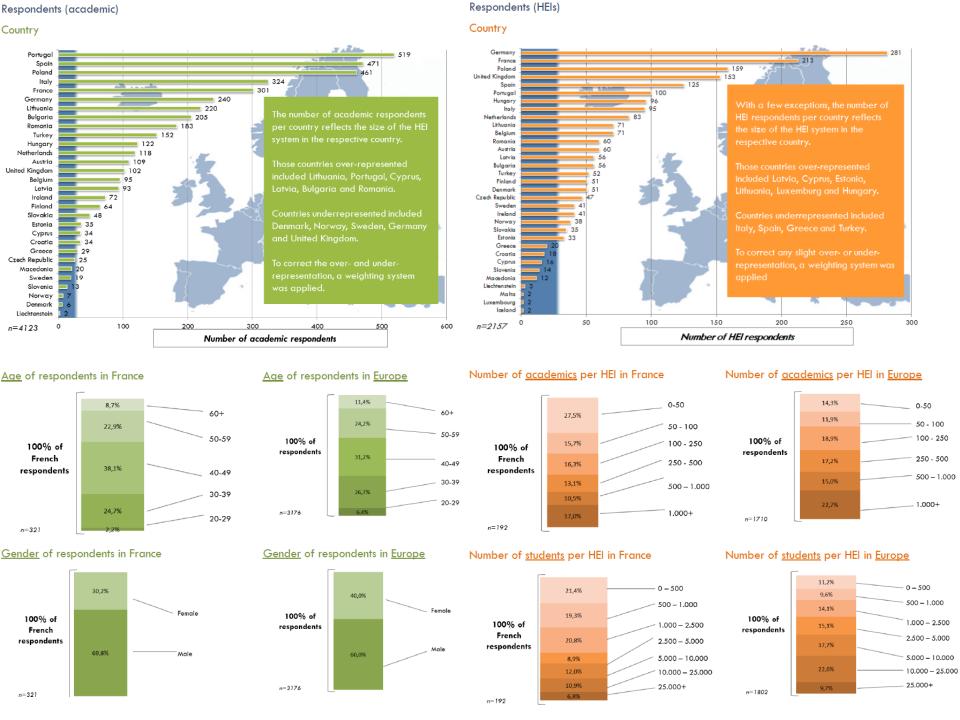


UBC Activities / supporting mechanisms

Development of UBC operational activities — France vs Europe















Partners:

<u>Science Marketing</u>

Science-to-Business Marketing Research Centre





Authors: Victoria Galán-Muros, Todd Davey, Arno Meerman and Alejandro Sánchez Contreras

ISBN: 978-94-91901-02-7

For more information about the University-Business Cooperation reports please contact Todd Davey (davey@apprimo.com) or Victoria Galán-Muros (galanmuros@fh-muenster.de)

This document has been prepared by the authors using data that had been collected in the framework of a study prepared for the European Commission. It reflects the views only of the authors, and the Commission cannot be held responsible for any use that may be made of the information contained therein.

© 2013 Science-to-Business Marketing Research Centre, apprimo UG and University Industry Innovation Network (UIIN).

© Science-to-Business Marketing Research Centre – apprimo - UIIN

Bozeman, B., Boardman, C. (2013). Academic Faculty in University Research Centers: Neither Capitalism's Slaves nor Teaching Fugitives. The Journal of Higher Education, 84(1), 88-120.

Carayol, N. (2003). Objectives, Agreements and Matching in Science–Industry Collaborations: Reassembling the Pieces of the Puzzle. Research Policy, 32(6), 887-908.

Davey, T., Baaken, T., Galán-Muros, V., Meerman, A. (2011). Study on the cooperation between Higher Education Institutions and Public and Private Organisations in Europe. European Commission, DG Education and Culture, Brussels, Belgium, ISBN 978-92-79-23167-4.

Etzkowitz, H., Leydesdorff, L. (2000). The dynamics of innovation: From National Systems and "Mode 2" to a Triple Helix of university-industry-government relations. Research Policy, 29(2), 109–123

European Commission (2011). Council conclusions on the role of education and training in the implementation of the Europe 2020 strategy. Official Journal of the European Union (2011/C 70/01)

OECD, Organisation for Economic Cooperation and Development (2002). Benchmarking science-industry relationships. Accessed from: http://www.oecdbookshop.org/oecd/display.asp?K=5LMQCR2K9FJF&LANG=EN [25 August 2013].

Red OTRI, Red UGI (2012). Informe de la encuesta de investigación y transferencia de conocimiento 2011 de las universidades españolas. Conferencia de Rectores Universidades Españolas (CRUE), Madrid, Spain

Testar Ymbert, X. (2012). La transferencia de tecnología y conocimiento universidad-empresa en España: estado actual, retos y oportunidades. Colección documentos CYD num. 17, Fundación Conocimiento y Desarrollo, Barcelona, Spain

Contact us

apprimo UG
Science-to-Business Marketing Research Centre
UIIN

Todd Davey davey@apprimo.com Victoria Galan-Muros galanmuros@fh-muenster.de in any form of
university-business collaboration (UBC)
you need to understand the

'big picture'





Describing University-Business Cooperation (UBC)

The UBC Ecosystem

A model for understanding the important elements affecting University-Business Cooperation (UBC)

Model created by

Todd Davey, Victoria Galan Muros, Arno Meerman

Model validation partners

Science-to-Business Marketing Research Centre, UIIN, apprimo UG

Co-created by

105 practitioners validating the model in their work.

The model relationships have been scientifically validated by the Science-to-Business Marketing Research Centre

- Indirect outcome (society)
- Direct outcome (actors)
- 3 University-Business
 Cooperation (UBC) types
- 4 Influencing factors
- Supporting mechanisms
- Key stakeholders







ARE YOU...

- attempting to develop UBC within your organisation?
- repetitively thinking about the factors affecting cooperation between university and business as well as their how they relate to each other?
- trying to foster open innovation involving universities?
- continually confronted with the challenge of creating better relationships between HEIs and business?
- a revolutionary trying to match researchers with business partners?

... if you answered 'yes' to any of these questions, you are not alone: this model was developed by people like you for these reasons



	Impact	
ODC LCOSTSTEIVI	/ Layers c	лріапіса

Indirect Impact

level **Outcome** How it impacts society How it affects

What occurs

consider

Direct outcomes

level

stakeholders

University-Business Cooperation types

Result level

What you have to

Influencing factors

level **Action**

Factor

What you can do

Supporting mechanisms

level

Who is involved

Key stakeholders

Stakeholder level

1. INDIRECT OUTCOMES

DEF Refers to the indirect outcomes experienced by society generally from UBC

The indirect social contribution of UBC includes:

- creates jobs and stimulates economic growth,
- increases living standards, productivity and social cohesion.

UBC is vital in building the knowledge society

As societies develop from farming, industrial to knowledge societies, governments are embracing the need to create a more connected relationship between government, business and HEIs with focus on UBC. A knowledge society consists of: (i) innovation, (ii) education, (iii) ICT and (iv) science & technology, to which UBC is vital.

Validation: Literature, expert interviews and 30 case studies show that UBC is crucial for creating a knowledge society

Indirect outcome (society)
 Direct outcome (actors)
 University-Business
Cooperation (UBC) types
 Influencing factors
 Supporting mechanisms

ACTION: Promote ways of measuring and recognising this contribution

Key stakeholders

© Davey / Galán Muros / Meerman

1. INDIRECT IMPACTS

UBC is an engine for the development of a knowledge society

- > Farming (land)
 - > Industrial age (labour)
 - > Knowledge society

UBC

Consisting of:

- (1) Innovation
- (2) Education,
- (3) ICT
- (4) Science & Technology



The indirect social contribution of UBC includes:

- <u>creates jobs</u> and <u>stimulates</u>
 <u>economic growth</u>,
- increases <u>living standards</u>, <u>productivity</u> and <u>social</u> <u>cohesion</u>.

GP FOR GOVERNMENTS/HEIs

- <u>Elevate UBC</u> onto an equal footing as teaching and research
- Manage the process of turning UBC activity and outcomes into impact
- Evaluate impact for each
 stakeholder group involved

GP FOR BUSINESS

Recognise that business are also part of the process of delivering benefit to society

IMPACT

2 OUTCOME

3 UBC TYPE

4 INFL FACTOR

5 SUPP MECH

5 KEY STKHLDER

2. DIRECT OUTCOMES

UBC reports direct positive outcomes for each of the stakeholders groups involved

Direct benefits (most highly recognised)

GP for HEIs / TTOs

- Strategise win-win situations prior to UBC commencement
- <u>Promote potential benefits</u> to get people involved and committed
- Manage the process to ensure that positive outcomes are delivered for all UBC stakeholders

GP for business

- Be clear of your desired outcomes
- Identify the <u>most-important outcomes</u> for your collaboration partners

<u>Business</u> HEIs Academics Improving/increasing Funding Accessing new discoveries • <u>future job prospects</u> of and accessing problem-Informing their teaching students, solving capabilities <u>Increasing scientific</u> • the relevance of research Provision of future income productivity measured in conducted within the HEI, through product and quality and quantity of • transfer of knowledge service development articles and technology to society Reducing R&D risk and increasing third-party Accessing equipment and <u>expense</u> money resources

IMPACT

2 OUTCOME

3 UBC TYPE

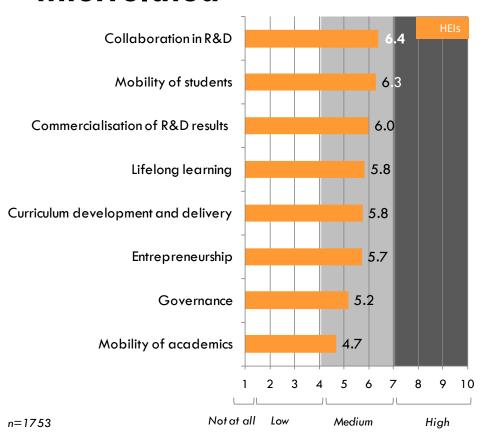
4 INFL FACTOR

5 SUPP MECH

KEY
STKHLDER

3. UBC TYPES

There are eight different types of UBC but are all interrelated



GP for governments HEIs & TTOs

- Recognise this <u>broader form</u> of UBC
- Have a <u>general strategy</u> for UBC combined with <u>more</u> <u>specific strategies</u> for each of the types
- Find ways to make all UBC types more direct, measurable and promotable

GP for academics

 To <u>explore the different types</u> of cooperation with your partners

GP for business

 Approach collaboration with HEls more holistically (instead of in a 'siloed' manner) IMPACT

2 OUTCOME

UBC TYPE

4 INFL FACTOR

SUPP MECH

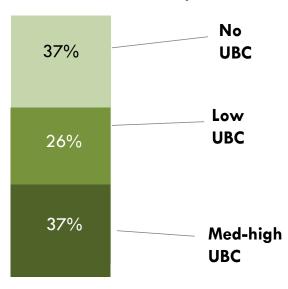
5 KEY STKHLDER

Finding: Those types of UBC offering: (1) more direct, (2) measurable, and (3) promotable benefits are the most developed ones.

3. UBC TYPES

Approximately 2 of every 5 academics are responsible for most of the UBC activity

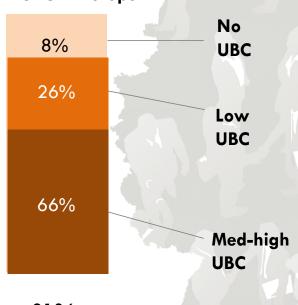
Academic UBC in Europe



n=6280

1 of every 3 HEIs undertake no or a low amount of UBC activity



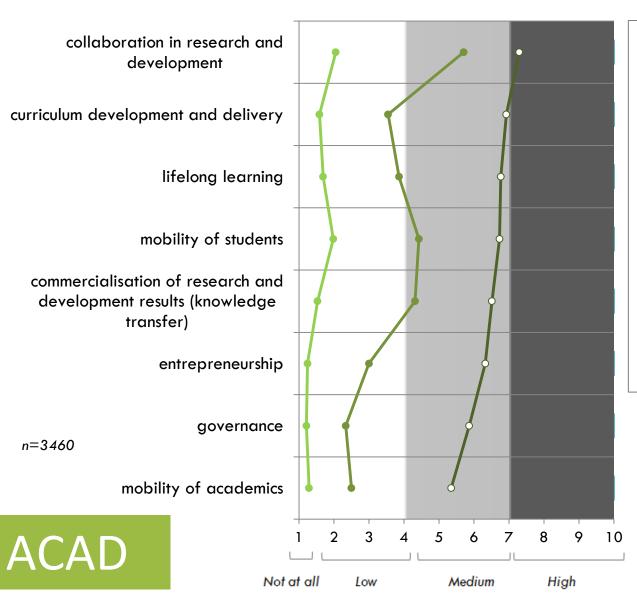


n=2136





3. UBC TYPES > Interrelation



A 2-step cluster analysis shows that 'trailblazers' academics (high UBC) are likely to cooperate with business in all the 8 Types to a similar extent, which range from medium to high. This finding is reflected through all 3 clusters which allows us to conclude the following:

The eight types of UBC are all interrelated (they do not work in isolation)

→High UBC

→ Medium UBC

-Low UBC

4. INFLUENCING FACTORS

<u>Influencing factors</u> explain the aspects that effect the extent of UBC for academics and HEIs.

Influencing factors are:

- a) Situational factors(e.g. age, faculty, years in business, etc.)
- b) Barriers
- c) Drivers
- d) Perceived benefits

Validation: Literature, expert interviews, 30 case studies, a survey pre-test and then quantitative analysis of the major study provided the validation of the importance of each of the influencing factors. Furthermore Kruskal-Wallis tests confirmed their significant influence on the extent of UBC.

Indirect outcome (society) **Direct outcome** (actors) **University-Business** Cooperation (UBC) types Influencing factors Situational **Barriers Drivers Benefits** factors Supporting mechanisms Key stakeholders

ACTION: Consider the four different factors and their effects on UBC

4. INFLUENCING FACTORS > Situational factors

All situational factors help to explain UBC

Years working in the HEI

Age

Gender

Years working in

Country

business

The type of HEI they work for

Faculty

...but only a few of them have practical implications For example:

$\underline{Scale:} 1 = none,$
>1 - 4 = low;
>4 - 7 = medium
>7 - 10 = high

Years in business	Extent of UBC
None	3.4
> 0 - 2	3.9
> 2 - 5	4.2
> 5 - 9	4.4
> 9 - 19	4.5
> 19 years	4.5

ACAD

GP for HEIs:

- Consider all the situational factors in decision making processes
- Prepare strategies / structures or activities that <u>address the</u> <u>most important situational</u> <u>factors</u> affecting UBC
- Employ <u>academics with</u>
 <u>business experience</u> or
 provide opportunities for
 academic mobility

GP for academics

 Seek business experience prior to or concurrently with your academic career

GP for business

 Employ those with academic / scientific understanding IMPACT

2 OUTCOME

3 UBC TYPE

4 INFL FACTOR

5 SUPP MECH

5 KEY STKHLDER

<u>Finding</u>: The extent of UBC is significantly higher with those academics with some experience in business

4. INFLUENCING FACTORS > Country

Country	Collaborati on in R&D	Mobility of academics	Mobility of students	Commerciali -sation of R&D Findings	Curriculum developmen t and delivery	Lifelong learning
Austria	6.7	3.8	5. 1	5.5	5.0	5.4
Belgium	6.3	4.5	5.9	5.6	5.5	5.4
Bulgaria	5.4	5.4	6.0	4.8	5.7	6.4
Czech Republic	6.1	5.0	5.8	5.0	6.3	6.3
Denmark	6.3	4.8	6.7	5.4	5.8	6.3
Estonia	5.1	4.1	5.2	4.7	6.9	6.4
Finland	7.4	5.3	7.0	5.4	5.9	6.6
France	6.8	4.0	6.8	5.2	6.3	6.2
Germany	7.2	4.6	6.7	5.9	4.9	5.3
Hungary	6.4	4.6	5.4	4.7	6.1	6.2
Ireland	7.9	5.1	7.2	7.7	7.3	<i>7</i> .1
Italy	5.8	4.8	6.0	5.0	5.9	5.5
Latvia	6.4	5.9	7.2	4.4	6.7	6.8
Lithuania	4.9	5.9	7.2	4.4	6.7	6.8
Netherlands	6.4	4.6	6.1	5.4	5.2	5.4
Norway	6.5	4.0	5.3	4.7	4.5	4.7
Poland	4.9	4.4	5.5	4.0	5.1	5.2
Portugal	6.0	4.8	6.8	4.8	6.0	6.4
Romania	6.8	6.3	7.2	5.5	6.9	7.0
Slovakia	5.1	4.8	5.4	4.4	4.9	5.5
Spain	6.9	4.9	6.6	6.1	5.7	6.4
Sweden	7.0	4.4	5.4	6.2	5.5	5.8
Turkey	5.6	5.0	5.4	4.5	1.15	
United Kingdom AVERAGE	7.6 6.3	5.4 4.9	6.5 6.2	7.4 5.3	HE	

GERMANY

Entrepreneu

rship

4.5

5.6

5.6

4.0 6.0

4.9

6.0

6.0

5.6

4.8

7.6

Above average in

- 1. Collaboration in R&D
- 2. Commercialisation of R&D

Below average in

- Curriculum development & Delivery
- 2. Lifelong learning
- 3. Governance

© Davey / Galan Muros 7 Meerman >1 - 4 = low; >4 - 7 = medium; >7 - 10 = high

Total UBC

5.0

5.4

5.8

5.3

5.8

5.1

6.2

5.9

5.6

5.6

6.9

Governance

4.4

4.5

5.5

3.9

4.7

4.0

5.0

5.9

4.7

5.1

6.8

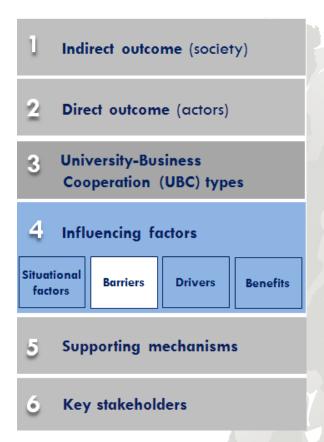
4. INFLUENCING FACTORS > Barriers to UBC

<u>Barriers</u> are those obstacles that restrict or inhibit the ability of the academic or HEI to engage in UBC.

Three groups of UBC barriers

Resulting from an analysis of the results, barriers can be categorised in the following groups:

- usability of results,
- II. funding barriers and
- III. relationship barriers.



42

Barriers to UBC measured included:

'Lack of external funding for University-Business cooperation', 'Lack of financial resources of the business', 'Business lack awareness of university research activities / offerings', 'The current financial crises', 'Lack of university funding for University-Business cooperation', 'Differing time horizons between university and business', 'The limited absorption capacity of SMEs to take on internships or projects', 'The need for business to have confidentiality of research results', 'Bureaucracy within or external to the university', 'Differing motivation / values between university and business', 'The focus on producing practical results by business', 'Universities lack awareness of opportunities arising from University-Business cooperation', 'Business fear that their knowledge will be disclosed', 'Limited ability of business to absorb research findings', 'Differing mode of communication and language between university and business', 'Difficulty in finding the appropriate collaboration partner', 'A lack of contact people with scientific knowledge within business', and 'No appropriate initial contact person within either the university or business'.

4. INFLUENCING FACTORS > Barriers to UBC

Lack of funding and excess of bureaucracy are the highest barriers to UBC

Most important barriers for academics

- 1. Bureaucracy within or external to the HEI (7.3)
- 2. Lack of HEI funding for UBC (6.9)
- 3. Lack of external funding for UBC (6.9)

<u>Scale:</u> 1 = No importance, -10 = high importance

Most important barriers for HEIs

- 1. Lack of external funding for UBC (7.0)
- 2. Lack of financial resources of the business (6.9)
- 3. Business lack awareness of HEI activities (6.9)

<u>Finding:</u> All European academics and HEI representatives see the same barriers to UBC no matter their extent of cooperation

GP for government and HEIs:

- Reduce (ideally remove) the main barriers related to funding (HEI) and bureaucracy (ACAD)
- TTOs to <u>support academics with</u> bureaucracy

GP for business

- Don't expect something for nothing > expect to pay
- Support the university to <u>reduce</u> <u>bureaucracy</u>

... but removal of barriers does not necessarily create UBC!

IMPACT

2 OUTCOME

UBC TYPE

4 INFL FACTOR

5 SUPP MECH

6 KEY STKHLDER

© Davey / Galán Muros / Meerman

43

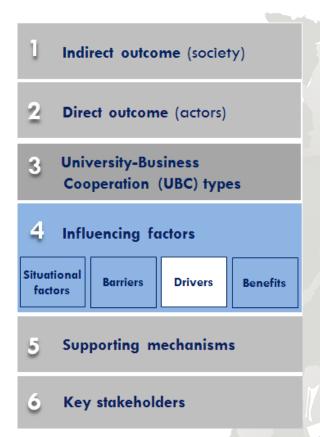
4. INFLUENCING FACTORS > Drivers of UBC

<u>Drivers</u> are those factors that facilitate the academic or the HEI to engage in UBC.

Two groups of UBC drivers

Resulting from an analysis of the results, drivers can be categorised in the following groups:

- I. Relationship drivers and
- II. Outcome drivers



Drivers of UBC measured included:

'Commercial orientation of the university', 'Possibility to access funding /financial resources for working with business7', 'Flexibility of business partners', 'Interest of business in accessing scientific knowledge', 'Access to business-sector research and development facilities', 'Employment by business of university staff and students', 'Short geographical distance of the university from the business partner', 'Existence of mutual trust', 'Existence of mutual commitment', 'Having a shared goal', 'Understanding common interest by different stakeholders (e.g. universities, business, individuals, students)', 'Prior relation with the business partner', and 'Cooperation as effective means to address societal challenges and issues'.

4. INFLUENCING FACTORS > <u>Drivers</u> of UBC

Personal relationships drive UBC. It's a people game!

Most important drivers for academics

- 1. Existence of mutual trust (7.4)
- 2. Existence of mutual commitment (7.0)
- 3. Having a shared goal (7.0)

<u>Scale:</u> 1 = No importance, -10 = high importance

Most important drivers for HEIs

- 1. Existence of mutual trust (7.5)
- 2. Existence of mutual commitment (7.1)
- 3. Having a shared goal (7.1)

GP for governments

- <u>Funding opportunities</u> aimed at encouraging and supporting the commencement of relationships
- <u>Legal changes to allow freer</u> <u>mobility</u> between government and business

GP for HEIs / TTOs / business

 Support the creation and development of long-term personal relationship (partnerships) IMPACT

2 OUTCOME

3 UBC TYPE

4 INFL FACTOR

5 SUPP MECH

5 KEY STKHLDER

<u>Finding:</u> Those academics or HEIs perceiving higher drivers for UBC are more engaged in UBC than those perceiving low drivers for UBC

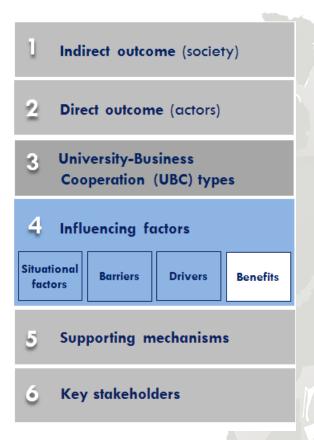
4. INFLUENCING FACTORS > Perceived benefits

<u>Benefits</u> are the advantages that are received by the stakeholders from undertaking UBC.

Four groups of UBC benefits for academics

Resulting from an analysis of the results, benefits for academics can be categorised in the following groups:

- (I) benefits for students,
- (II) benefits for business,
- (III) benefits for HEIs and
- (IV) personal benefits for academics.

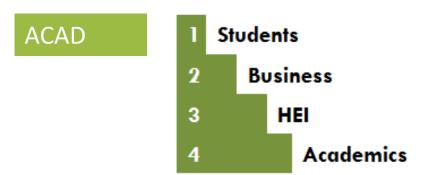


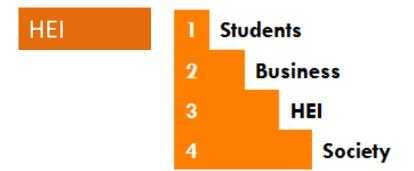
Benefits from UBC measured included:

Benefits for students (improving the learning experience of students, increasing skills and graduate development, improving the employability of future graduates), benefits for business (improves the performance of business), benefits for society (increasing local employment, benefitting the local industry, increasing local GDP and disposable income, creating a variety of range of social and recreational benefits, and improving regional productivity), benefits for HEIs (achieving the mission of the HEI), and personal benefits for academics (increasing the academics reputation in the field, being vital for personal research, increasing chances of promotion and employability, and improving the standing within the HEI).

4. INFLUENCING FACTORS > Perceived benefits

Perceptions of high benefits & incentives drive UBC.





<u>Finding:</u> The higher the perceived personal benefits of UBC, the higher the extent of UBC carried out

GP for HEIs

- In order to encourage UBC, the <u>right incentives for</u> <u>academics</u> need to be in place
- The incentives need to be recognised by the academics

GP for business

- Create the <u>right incentives</u> for academics
- Also your <u>own employees</u> need benefits

IMPACT

2 OUTCOME

UBC TYPE

4 INFL FACTOR

5 SUPP MECH

6 KEY STKHLDER

47

5. SUPPORTING MECHANISMS

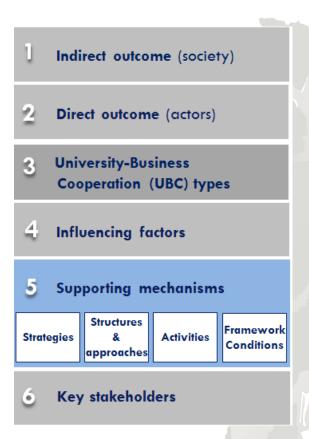
The creation and development of supporting mechanisms are critical for UBC. These include:

- 1. Strategic instruments
 - a. Documented e.g. vision / mission,
 - b. Implementation e.g. incentives
- 2. Structural instruments or approaches
 - a. Positions i.e. personnel
 - b. Agencies i.e. units of focus
- 3. Operational activities
 - a. Academic focussed
 - b. Student focussed
- 4. Framework conditions

Finding: It was found that having a dedicated:

- strategy,
- 2. program,
- 3. agency, and/or
- 4. responsible person

has a substantial effect on stimulating European UBC.



Finding: The UBC supporting mechanisms that are easier to implement (e.g. activities) are more developed than those (e.g structures) that are more difficult (costly, time-consuming) to implement

48

5. SUPPORTING MECHANISMS

The creation and development of supporting mechanisms are critical for UBC

DEVELOPMENT

The development of the mechanisms supporting UBC in Europe from the most developed to least are:

- 1. Operational activities (5.4),
- 2. Structures and approaches (5.1),
- 3. Strategies (4.9), and
- 4. Framework conditions (4.5).

Scale: 1 - 4 = low; > 4 - 7 = medium; > 7 - 10 = high

IMPACT

It was found that the impact of the Supporting Mechanisms on European UBC is (from the highest to lowest):

- 1. <u>Strategies (58%)</u> (especially implementation strategies)
- 2. Operational activities (53%),
- 3. Structures and approaches (52%), and
- 4. Framework conditions (40%).

GP for government

 Support the creation of <u>high impact supporting</u> <u>mechanisms</u> to support UBC

GP for HEIs

 A greater focus on <u>implementation strategies</u> is required

GP for business

 Support the creation of high impact supporting mechanisms to support UBC IMPACT

2 OUTCOME

3 UBC TYPE

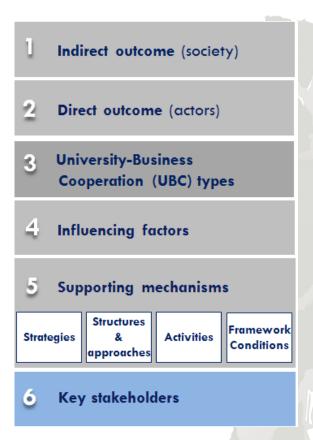
4 INFL FACTOR

5 SUPP MECH

6 KEY STKHLDER

6. STAKEHOLDERS

Stakeholder	Explanation		
Governments	Includes all levels of governments ranging from regional or national to international involved in supporting and developing UBC		
HEIs	 HEI representatives include: University management University professional working with business Academics (incl. professors, researchers and lecturers) 		
Business	Business is considered in a broad sense in the study to include: 1. Privately and publicly owned organisations, 2. Non-government organisations, 3. Not-for-profit organisations		
Intermediaries	Intermediaries in UBC can be understood as those organisations not necessarily owned or managed by either the Government or HEI that facilitate UBC. These include: chambers of commerce, business associations, investor group and regional development agencies.		



ACTION The development of a well-connected, proactive and supporting UBC stakeholder community is crucial for developing UBC

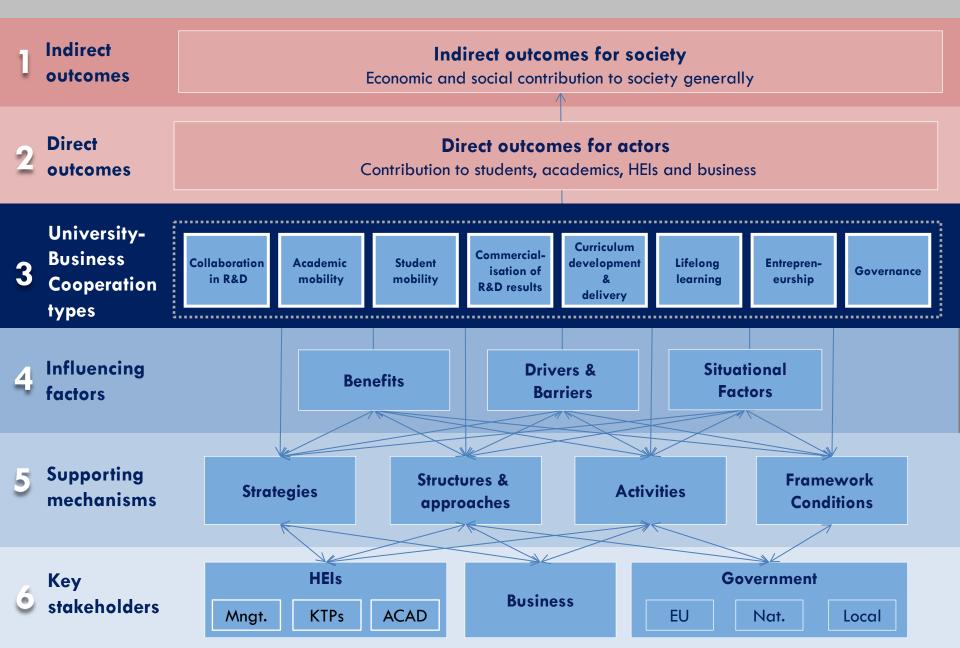
SUMMARY OF ECOSYSTEM ELEMENTS

6 Ecosystem Elements (and their key findings)

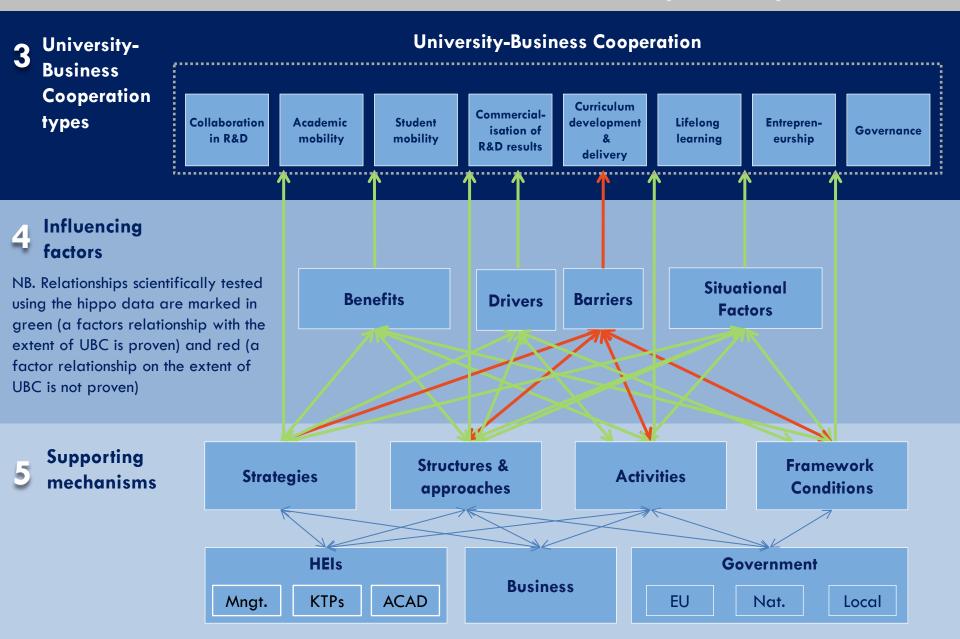
- 1. UBC is vital in creating a knowledge society
- 2. UBC provides direct outcomes for students, HEIs, academics and businesses
- 3. Those UBC types with more direct, measurable, and promotable benefits are the most developed (e.g. collaboration in R&D, mobility of students)
- 4a. Situational factors (e.g. age, faculty) help to explain UBC but there is little that can be implemented from these results
- 4b. Lack of funding and excess of bureaucracy at all levels (HEI, national, European) are the highest barriers to UBC
- 4c. Personal relationships drive UBC. It's a people game!
- 4d. Perceptions of high personal benefits & incentives are motivators of UBC
- 5. The creation and development of supporting mechanisms (especially those with the highest impact) are critical for UBC
- 6. In the UBC ecosystem, the multiple actors need to work cooperatively and in a coordinated manner



UBC ECOSYSTEM MODEL > Detailed



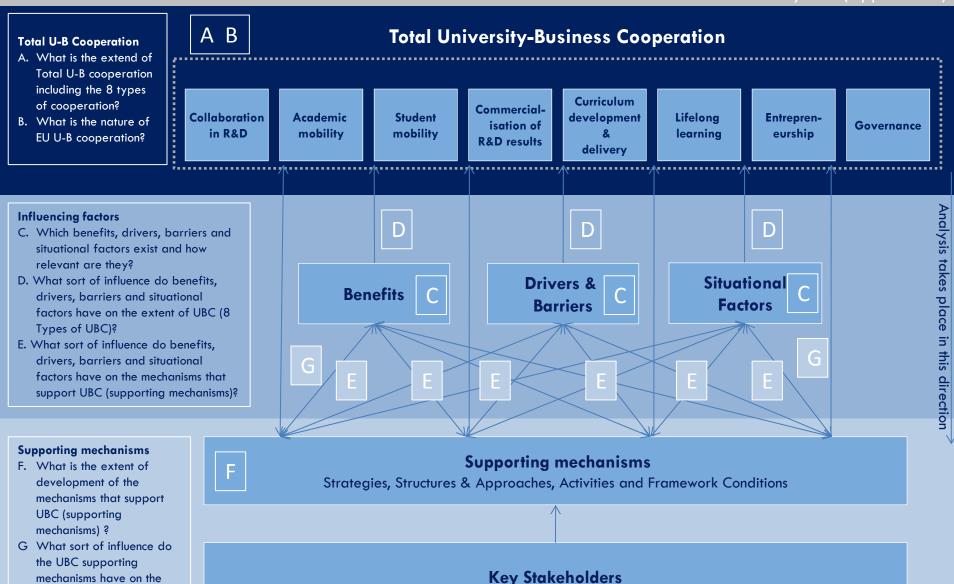
UBC ECOSYSTEM > Relationships explained



UBC ECOSYSTEM > Benchmarking

extent of UBC?

Benchmarking questions to allow benchmarking versus country ave. (hippo results)



Print and analyse your UBC ecosystem

Outcomes and impacts

A. How aware are key regional, business and HEI stakeholders of the potential outcomes and impacts of UBC?

Outcomes

Contribution to the three missions of a \mbox{HEI}

Economic development

Contribution to society generally

Situational

Factors

Extent of UBC

B. How developed are the 8 types within your HEI & where can more focus be given? Nominate strengths and weaknesses.

Collaboration Academic in R&D mobility

В

Student isation of R&D results

Drivers

E

Curriculum development & delivery

C Barriers

8 types of UBC

Lifelong learning

Entrepreneurship

Governance

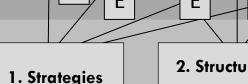
in this direction

Benefits, drivers, barriers & situational factors

- C. Of these influencing factors, where do you think your university has strengths and weaknesses?
- D. Which of the factors are having the biggest affect on your efforts in increase UBC?

Supporting mechanisms

- E. Are there particular types of supporting mechanisms that are more developed than others?
- G In terms of your UBC efforts, are there particular strengths or weaknesses of stakeholders that need consideration?



Benefits

2. Structures & approaches

- 3. Activities
- 4. Framework Conditions

G

Mnqt. KTPs

G

ACAD

Business

EU Nat.

Government

Local

UBC ECOSYSTEM CREATORS

Creators

Todd Davey, Victoria Galan Muros, Arno Meerman, Thomas Baaken, Thorsten Kliewe

Co-creators

Peter Baur, Juliet Edwards, Rebecca Allinson, Mikko Markkanen, Mikko Korpela, John Murphy, Guido Giebens, Richard Deiss, Patricia De Smet, Marie-Anne Persoons, Ricardo Ferreira, Kamila Partyka, Lisa Wears, Christine Robinson, José Syne, Gillian McFazean, Suzanne Emmett, Pat Frain, Tim Creedon, Niels Olesen, Iván Rodríguez Roselló, Marja-Liisa Neuvonen-Rauhala, John Murphy, Lidia Borrell-Damian, Cédric Höllmüller, Diane Filip, Sabine Ohse, Wojciech Wodo, Jørgen Staunstrup, Jorge Quesada Araya, Ainurul Rosli, Maria Swartz, Dorothee Zerwas, Tony Boccanfuso, Keith Marmer, Carlos Ignacio, Alvarado Ulloa, Marco Antonio Anderson Espinoza, José Luis Antón de la Concha, Edward Araya Rodríguez, Ana Cecilia Barrantes Ramírez, Luis Agustín Cárdenas Franco, Omar Castillo, Marcelino Antonio Castro Baltodano, Marianela Cortés, Ricardo Alberto Gómez Flores, Rogelio González Quirós, Jesús Alberto Hernández Gómez, Maricela Hidalgo Montaño, Maribel Jiménez Montero, Sergio Madrigal Carballo, Karla Miranda Benavides, David Leslie Rabling Conde, Eugenio J. Reyes-Guzmán, Rosario Valencia Castillo, Gerardo Javier Vilet Espinosa, Frans Jonkman, Maija Harkonen, David Romero, Ardalan Haghighi Talab, Conny Hökfors, Heikki Malinen, David Allen, Javier Quintana, Byeong-Kyu Lee, Won-Joon Choi, Diane Filip, Sabine Ohse, Wojciech Wodo, Jørgen Staunstrup, Jorge Quesada Araya, Ainurul Rosli, Maria Swartz, Dorothee Zerwas, Tony Boccanfuso, Keith Marmer, Frans Jonkman, Maija Harkonen, David Romero, Ardalan Haghighi Talab, Conny Hökfors, Heikki Malinen, David Allen, Javier Quintana, Byeong-Kyu Lee, Won-Joon Choi, Daniela Tyson, Dr Don McMaster, Dr Wayne Harvey, Steven Clarke, Craig Fowler, Glen Wheatley, Rod Nankivell, Masako Amemiya, Stephanie Agius, Philipp Dautel, Michael Dilettoso, Philip Taylor, David Viola, Marylene Viloria-Viola, Roxanne Jansen, Kathryn Anderson, James Hutchin, Lisa McDonald, Allan O'Connor, James Andreww, Lisa Barrie, Carolin Plewa, Clive Winters, Peter van der Sijde, Tomasz Kusio, Miemie Struwig, Silvia Rodríguez Sedano, Friederike von Hagen, Pilar Osca, Michael Deery, Nisha Korff, David Serbin.







REVIEW OF GOOD PRACTICE

30 good practice case studies – 6 key insights

- The type and method of cooperation needs to <u>fit to</u> <u>regional characteristics</u> to maximise its success:
 - Fitting to the region's strengths
 - Fitting to the region's <u>environmental</u>
 <u>framework and regional limitations</u>
- 2. <u>Multiple UBC actors need to come together</u> in order to truly deliver new and sustainable value to a region
- 3. The extent of UBC <u>development differs among the</u> <u>different regions</u> in Europe, between HEI <u>types</u> and HEI sizes
- 4. Good practice can be transferred
- 5. A longer-term commitment to UBC is required
- 6. There is a movement to <u>longer-term</u>, <u>sustainable</u> <u>funding</u> models



http://www.ubcooperation.eu/index/casestudy





BENCHMARK

...universities in your region ...your university!



Using the State of European University-Business Cooperation (HIPPO) study results, decision makers, managers and practitioners involved in UBC can benefit from receiving:

- 1. a benchmark in terms of UBC of your organisation, institution, sector, region or country against others.
- 2. a clear picture of progress in efforts to increase UBC,
- 3. proactive areas of focus for increasing UBC,
- 4. the required information to advance UBC within their region or institution

Provided to your organisation in the form of a report and/or presentation.

A state of the UBC report dedicated to your organisation can assist with developing greater financial and non financial benefits from UBC.

Please contact davey@apprimo.com for more information.

